

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1	CIN	U27100MH1980PTC022322
2	Registration Date	11-03-1980
3	Name of the Company	ASSOCIATED SMELTERS PRIVATE LIMITED
4	Category/Sub-category of the Company	Company limited by shares Indian Non-Government Company
5	Address of the Registered office & contact details	W-60/N 7 TTC-M I D C Area, Thane, Belapur Road, Rabale, Mumbai, Maharashtra- 400701, India.
6	Whether listed company	Unlisted
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	NA

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10% or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Manufacture of basic chemical elements	20116	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1					

IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

Category of Shareholders	No. of Shares held at the beginning of the year (As on 1-April-2019)				No. of Shares held at the end of the year (As on 31-March-2020)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	-	79,590	79,590	93.55%	-	79,715	79,715	93.70%	0.15%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Banks/ FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (1)	-	79,590	79,590	93.55%	-	79,715	79,715	93.70%	0.15%
(2) Foreign									
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Other Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (2)	-	-	-	0.00%	-	-	-	0.00%	0.00%
TOTAL (A)	-	79,590	79,590	93.55%	-	79,715	79,715	93.70%	0.15%

B. Public									
1. Institutions									
a) Mutual Funds	-	-	0.00%	-	-	-	-	-	0.00%
b) Banks / FI	-	-	0.00%	-	-	-	-	-	0.00%
c) Central Govt	-	-	0.00%	-	-	-	-	-	0.00%
d) State Govt(s)	-	-	0.00%	-	-	-	-	-	0.00%
e) Venture Capital Funds	-	-	0.00%	-	-	-	-	-	0.00%
f) Insurance	-	-	0.00%	-	-	-	-	-	0.00%
g) FIs	-	-	0.00%	-	-	-	-	-	0.00%
h) Foreign Venture Capital Funds	-	-	0.00%	-	-	-	-	-	0.00%
i) Others (specify)	-	-	0.00%	-	-	-	-	-	0.00%
Sub-total (B)(1):-	-	-	0.00%	-	-	-	-	-	0.00%
2. Non-Institutions									
a) Bodies Corp.	-	-	0.00%	-	-	-	-	-	0.00%
i) Indian	-	-	0.00%	-	-	-	-	-	0.00%
ii) Overseas	-	-	0.00%	-	-	-	-	-	0.00%
b) Individuals	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	5,485	5,485	6.45%	-	5,360	5,360	6.30%	-0.15%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	0.00%	-	-	-	0.00%	-	0.00%
c) Others (specify)	-	-	-	-	-	-	-	-	-
Non Resident Indians	-	-	0.00%	-	-	-	0.00%	-	0.00%
Overseas Corporate Bodies	-	-	0.00%	-	-	-	0.00%	-	0.00%
Foreign Nationals	-	-	0.00%	-	-	-	0.00%	-	0.00%
Clearing Members	-	-	0.00%	-	-	-	0.00%	-	0.00%
Trusts	-	-	0.00%	-	-	-	0.00%	-	0.00%
Foreign Bodies - D R	-	-	0.00%	-	-	-	0.00%	-	0.00%
Sub-total (B)(2):-	5,485	5,485	6.45%	-	5,360	5,360	6.30%	-0.15%	0.00%
Total Public (B)	5,485	5,485	6.45%	-	5,360	5,360	6.30%	-0.15%	0.00%
C. Shares held by Custodian for GDRs & ADRs	-	-	0.00%	-	-	-	0.00%	-	0.00%
Grand Total	85,075	85,075	100.00%	-	85,075	85,075	100.00%	-	0.00%

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year				Shareholding at the end of the year				% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	% of Shares Pledged/encumbered to total shares		
1	Jayprakash Bhaskarrao Tripathi	59,805	70.30%	0	59,805	70.30%	0	0	0.00%	
2	Rashmi Jayprakash Tripathi	19,785	23.26%	0	19,910	23.40%	0	0	0.15%	
3	Sagar J Tripathi	500	0.59%	0	500	0.59%	0	0	0.00%	

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Rashmi Jayprakash Tipnis						
	At the beginning of the year	01-04-2019	Transfer of shares	19,785	23.26%	19,785	23.26%
	Changes during the year			-	0.00%	125	0.14%
	At the end of the year	31-03-2020		19,785	23.26%	19,910	23.40%

(iv) Shareholding Pattern of top ten Shareholders

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For each of the Top 10 Shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Madhavi S Tipnis						
	At the beginning of the year	01-04-2019		700	0.82%	700	0.82%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2020		700	0.82%	700	0.82%
2	Tannay J Tipnis						
	At the beginning of the year	01-04-2019		500	0.59%	500	0.59%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2020		500	0.59%	500	0.59%
3	Prakash B Tipnis						
	At the beginning of the year	01-04-2019		2,640	3.10%	2,640	3.10%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2020		2,640	3.10%	2,640	3.10%
4	Anjali P Tipnis						
	At the beginning of the year	01-04-2019		100	0.12%	100	0.12%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2020		100	0.12%	100	0.12%
5	Chhaya P Korde						
	At the beginning of the year	01-04-2019		250	0.29%	250	0.29%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-03-2020		250	0.29%	250	0.29%
6	Sandhya D Kamik						
	At the beginning of the year	01-04-2019		100	0.12%	100	0.12%
	Changes during the year		Transfer	-	0.00%	100	0.12%
	At the end of the year	31-03-2020		100	0.12%	-	0.00%
7	Arun B Mokashi						
	At the beginning of the year	01-04-2019		25	0.03%	25	0.03%
	Changes during the year		Transfer	-	0.00%	25	0.03%
	At the end of the year	31-03-2020		25	0.03%	-	0.00%

8	Vikas B Gupta	01-04-2019		100	0.12%	100	0.12%
	At the beginning of the year			100	0.00%	-	0.00%
	Changes during the year			-	0.12%	100	0.12%
	At the end of the year	31-03-2020		100			
9	Sachhidana B Bagwe	01-04-2019		470	0.55%	470	0.55%
	At the beginning of the year			470	0.00%	-	0.00%
	Changes during the year			-	0.55%	470	0.55%
	At the end of the year	31-03-2020		470			
10	Milind G Dixit	01-04-2019		100	0.12%	100	0.12%
	At the beginning of the year			100	0.00%	-	0.00%
	Changes during the year			-	0.12%	100	0.12%
	At the end of the year	31-03-2020		100			

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Jayprakash Bhasikarrao Tipnis	01-04-2019		59,805	70.30%	59,805	70.30%
	At the beginning of the year			59,805	0.00%	-	0.00%
	Changes during the year			-	0.00%	59,805	70.30%
	At the end of the year	31-03-2020		59,805			
2	Rashmi Jayprakash Tipnis	01-04-2019		19,785	23.26%	19,785	23.26%
	At the beginning of the year			19,785	0.00%	125	0.14%
	Changes during the year			-	23.26%	19,910	23.40%
	At the end of the year	31-03-2020		19,785			
3	Sagar J Tipnis	01-04-2019		500	0.59%	500	0.59%
	At the beginning of the year			500	0.00%	-	0.00%
	Changes during the year			-	0.59%	500	0.59%
	At the end of the year	31-03-2020		500			

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment:

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	(Amt. Rs./Lacs)	
				Total Indebtedness	
Indebtedness at the beginning of the financial year					
i) Principal Amount	-	1,276,343.41	-	1,276,343.41	-
ii) Interest due but not paid	-	-	-	-	-
iii) Interest accrued but not due	-	-	-	-	-
Total (i+ii+iii)	-	1,276,343.41	-	1,276,343.41	-
Change in Indebtedness during the financial year					
* Addition	-	14,974.87	-	14,974.87	-
* Reduction	-	-	-	-	-
Net Change	-	14,974.87	-	14,974.87	-
Indebtedness at the end of the financial year					
i) Principal Amount	-	1,291,318.28	-	1,291,318.28	-
ii) Interest due but not paid	-	-	-	-	-
iii) Interest accrued but not due	-	-	-	-	-
Total (i+ii+iii)	-	1,291,318.28	-	1,291,318.28	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name	D/W/TD/ Manager	Total Amount (Rs/Lac)
1	Gross salary	Jayprakash Bhaskarao Tinnis		-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Managing Director		420,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission - as % of profit - others, specify			-
5	Others, please specify			-
	Total (A)			420,000
	Ceiling as per the Act			-

B. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name	MD/W/TD/ Manager	Total Amount (Rs/Lac)
		Sagar Jayprakash Tinnis		477,500
1	Gross salary	Director		-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission - as % of profit - others, specify			-
5	Others, please specify			-
	Total (A)			477,500
	Ceiling as per the Act			-

C. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name	MD/W/TD/ Manager	Total Amount (Rs/Lac)
		Rashmi Jayprakash Tinnis		362,500
1	Gross salary	Director		-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961			-

2	Stock Option					-
3	Sweat Equity					-
4	Commission - as % of profit - others, specify					-
5	Others, please specify					-
					Total (A)	-
					Ceiling as per the Act	362,500

D. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors			Total Amount (Rs/Lac)
1	Independent Directors				
	Fee for attending board committee meetings				
	Commission				
	Others, please specify				
	Total (1)				
2	Other Non-Executive Directors				
	Fee for attending board committee meetings				
	Commission				
	Others, please specify				
	Total (2)				
	Total (B)=(1+2)				
	Total Managerial Remuneration				
	Overall Ceiling as per the Act				


E. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount (Rs/Lac)
		Name			
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income tax Act, 1961	CEO	CFO	CS	
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961				
2	Stock Option				
3	Sweat Equity				
4	Commission - as % of profit - others, specify				
5	Others, please specify				
	Total				

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	AUTHORITY (RD / NCLT/ COURT)	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Penalty					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					

On the behalf of Board of Director
Associated Smelters Private Limited


Jayprakash Bhaskarrao Tipnis
Managing Director
DIN: 01811156


Sagar Jayprakash Tipnis
Director
DIN: 00021393

9th November, 2020
Thane